



11-16-01

Please type a plus sign (+) inside this box → PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 18]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]
5. Oath or Declaration [ Total Pages 2 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	10238.002
First Inventor	Jouas, Gary S.
Title	ENTRAINMENT RESISTANT EVAPORATIVE COOLER PAD FRAME
Express Mail Label No.	EL806902416US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

<b>ACCOMPANYING APPLICATION PARTS</b>		
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	37 CFR 3.73(b) Statement	<input checked="" type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> (when there is an assignee)		
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/> Preliminary Amendment		
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
14. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	Other: Transmittal Letter	.....
15. <input type="checkbox"/> Other: _____		
16. <input type="checkbox"/> Other: _____		
17. <input type="checkbox"/> Other: _____		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	27,887		<input type="checkbox"/> or	<input type="checkbox"/> Correspondence address below
Name				
Address				
City		State		Zip Code
Country		Telephone		Fax

Name (Print/Type)	Richard E. Oney	Registration No. (Attorney/Agent)	36,884
Signature	<i>Richard E. Oney</i>		Date <i>Nov. 16, 2001</i>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 864)

*Complete if Known*

Application Number	Unassigned
Filing Date	November 16, 2001
First Named Inventor	Jouas, Gary S.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	10238.002

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **060590**Deposit Account Name **Fennemore Craig** Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	0

SUBTOTAL (1) (\$ 740)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	X 18	= 0
Independent Claims	4	- 3** = 1	X 84 = 84
Multiple Dependent			

Large Entity	Small Entity	Fee Description
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
		9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		** Reissue independent claims over original patent
		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 84)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40)

## SUBMITTED BY

Name (Print/Type)	Richard E. Oney	Registration No. (Attorney/Agent)	36,884	Telephone	602 916-5303
Signature	<i>Richard E. Oney</i>			Date	<i>Nov. 16, 2001</i>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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LAW OFFICES  
**FENNEMORE CRAIG**  
A PROFESSIONAL CORPORATION

**RICHARD E. ONEY**

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November 16, 2001

**VIA EXPRESS MAIL**

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D. C. 20231

Re: Submission of a New Patent Application  
Title: ENTRAINMENT RESISTANT EVAPORATIVE  
COOLER PAD FRAME  
Inventor: Jouas, Gary  
Filing Date: November 16, 2001  
File No.: 10238.002

Dear Sir:

We hereby submit the following documents for the referenced application:

1. Fee Transmittal Form for FY 2002 (PTO/SB/17);
2. Utility Patent Application Transmittal Form (PTO/SB/05);
3. Declaration and Power of Attorney;
4. Specification (18 pages) including an abstract, 4 independent claims and 20 total claims;
5. Drawings (3 pages);
6. Assignment;
7. Recordation Form Cover Sheet (PTO-1595);
8. Check No. 219907 for \$40.00 to cover the Assignment Recordation Fee;
9. Check No. 219877 for \$ 824.00 to cover the basic filing fee; and
10. Postage-paid postcard acknowledging receipt of this letter and the foregoing.

# FENNEMORE CRAIG

Commissioner for Patents  
November 16, 2001  
Page 2

Please accord this application a serial number and a filing date. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayments to Deposit Account No. 060590.

Respectfully submitted,

By Richard E. Oney  
Richard E. Oney  
FENNEMORE CRAIG  
Registration No. 36,884

Express Mail Label No. EL806902416US

Date of Deposit 11/16/01

I hereby certify that this paper and all documents and any fee referred to herein are being deposited on the date indicated above with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Louis A. Lofredo  
Louis A. Lofredo, Legal Assistant

11/16/01  
Date of Signature